

Docket No.: 20421 US (C36368/122009)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
ACTING AS THE DO/EO/US UNDER THE PCT

In re Application of :)
Catia BASTIOLI, *et al.*
International Application No.: PCT/EP99/06390) Examiner: Unassigned
International Filing Date: 31 August 1999) Art Unit: Unassigned
U.S. Serial No.: 09/786,189
U.S. Filing Date: March 1, 2001
For:)
**BIODEGRADABLE COMPOSITIONS
COMPRISING STARCH AND
POLYSACCHARIDE ESTERS**

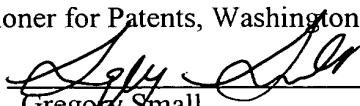
CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: EL 715 380 128 US
Date of Deposit: June 6, 2001

I hereby certify that the following:

- [x] Certificate of Express Mailing (1 p);
- [x] Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US) (1 p) ;
- [x] Notification of a Defective Oath or Declaration (1 p) ;
- [x] Response to Notification of Missing Requirements Under 35 USC § 371 (2 pp) in duplicate;
- [x] Executed Declaration and Power of Attorney for Patent Application (3 pp);
- [x] Check for \$130.00
- [x] Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to Box PCT, Commissioner for Patents, Washington, D.C. 20231.



Gregory Small

Signature of Person Making Deposit

BRYAN CAVE LLP
245 Park Avenue
New York, New York 10167-0034
212/692-1800

PCT Rec'd 06 JUN 2001
09/786189 #3

Express Mail No. EL 715 380 128 US

Docket No.: (C13929/122995)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ACTING AS THE DO/EO/US UNDER THE PCT

In re Application of :)
Catia BASTIOLI, *et al.*
International Application No.: PCT/EP99/06390) Examiner: Unassigned
International Filing Date: 31 August 1999) Art Unit: Unassigned
U.S. Serial No.: 09/786,189
U.S. Filing Date: March 1, 2001
For: **BIODEGRADABLE COMPOSITIONS**)
COMPRISING STARCH AND
POLYSACCHARIDE ESTERS

New York, New York
June 6, 2001

RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 USC § 371

Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements Under USC § 371

("Notification") mailed April 6, 2001 in the above-identified application, Applicants submit

herewith the following:

09/786189/2001 CLAIMS 000000002 05705105

(1) Declaration signed by all inventors in compliance with 37 CFR 1.63;

(2) Copy of Form PCT/DO/EO/905; and

(3) A check in the amount of \$130.00 to cover the fee.

If our check is missing or otherwise insufficient, or if any additional fees are required, the Commissioner is authorized to charge (or credit any overpayment) to Deposit Account No. 02-4467. A duplicate copy of this communication is enclosed.

Respectfully submitted,

By: Joy S. Goudie
N. Whitney Wilson
Registration No. 38,661
Joy S. Goudie
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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	TTY DOCKET NO.
09/786189	BASTIOLI	C 13929/122995
INTERNATIONAL APPLICATION NO.		
PCT/EP99/06390		
I.A. FILING DATE	PRIORITY DATE	
31 AUG 99	01 SEP 98	

MAURICE B STIEFEL
245 PARK AVENUE
NEW YORK, NY 10167

DATE MAILED: 06 APR 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- | | |
|--|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input checked="" type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input type="checkbox"/> Translation of the international application into English. |
| <input checked="" type="checkbox"/> Oath or Declaration of inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐
- U.S. Basic National Fee.
- ☐
- Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐
- a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
-
- ☐
- The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
-
- ☐
- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
-
- ☐
- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
-
- ☒
- The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/91.
-
- ☐
- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

FORM PCT/DO/EO/905 (March 2001)

John Anderson
Telephone: 703-308-9116DATE 4-10-2001
INITIAL M

APR 10 2001